

# PROGRAMMING LANGUAGES: FUNCTIONAL PROGRAMMING

## 7. TYPES AND LOGIC

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# ANATOMY OF A (PROGRAMMING) LANGUAGE

- To define a (programming) language, we typically have to define
  - its syntax;
  - its type system;
  - and its semantics.
- Syntax is considered by some an issue that is done with. There are occasionally interesting new research results, though.
- We briefly talked about semantics before, and unfortunately won't have time to cover more.
- Type is a hot topic in the area of programming languages.

# WHAT ARE TYPES FOR?

- What does a type system do?
- Kris de Volder: "... making sure that no operations are performed on inappropriate arguments."
  - e.g. `"abc" × 123`.
- "A type system is a tractable syntactic method for proving the absence of certain program behaviours by classifying phrases according to the kinds of values they compute"  
Benjamin Pierce, *Types and Programming Languages* (MIT, 2002).

- A type system guarantees safety properties by *limiting the programs you are allowed to write*.
- Certain safety properties are not decidable. Type systems for them cannot be precise, and some safe programs might be ruled out too.
- A *static* type system verifies the program text before it is run.
- A *dynamic* type system verifies the actual expression during it is run.
- This course mainly concerns the former.

## MOTIVATIONS FOR A TYPE SYSTEM

- Safety: early detection of certain kinds of errors.
  - e.g. trivial things like integer + string.
  - Types that guarantees that no communication error occurs, polynomial running time, etc.
- Efficiency: allowing certain optimisations.
  - e.g. if we are sure that array indexing never goes out of bound, we do not have to do runtime bound check.
  - Some type systems guarantee certain resource usage: “this variable is used only once.”
- Specification: the type specifies part of what a program does.
  - As we have seen, programs are often structured around the datatype it is defined on.
  - Type guarantees certain behaviour. E.g. if  $f :: \text{List } a \rightarrow a$  we must have  $f \cdot \text{map } g = g \cdot f$ .
  - “This function computes *sort*.”

# NOTHING COMES FOR FREE

What's the price?

- A type system rules out certain programs as illegal. However, a static type system must make a conservative guess.
  - The following program does not generate a run-time type error, but is not typable in Haskell.

```
f b = if b then g b else ord (g b)  
g b = if b then 65 else 'A'
```

- A more expressive type system makes a finer guess, and also allows more to be said in the type. However, you often need to provide a lot more information and put more efforts persuading the type checker that a program is correct.

# INTUITIONISTIC PROPOSITIONAL LOGIC

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# PROPOSITIONAL LOGIC

- For reasons that will be clear later, we introduce some logic before talking about types.
- Propositional logic: a simple form of logic having some very nice properties.
- Let  $P$  be the set of propositional symbols. The syntax of propositional logic is given by

$$PL = True \mid False \mid P \\ \mid PL \Rightarrow PL \mid PL \wedge PL \mid PL \vee PL$$

- There are several formal systems to prove statements in propositional logic. We will present one of them.



# NATURAL DEDUCTION FOR INTUITIONISTIC PROPOSITIONAL LOGIC

- Let  $\Gamma$  be a set of propositions that are assumed to be true.
- The judgement  $\Gamma \vdash P$  means that “given the assumptions in  $\Gamma$ ,  $P$  is provable”.

$$\frac{P \in \Gamma}{\Gamma \vdash P} \text{Hyp}$$

$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} \Rightarrow I \quad \frac{\Gamma \vdash P \Rightarrow Q \quad \Gamma \vdash P}{\Gamma \vdash Q} \Rightarrow E$$

$$\frac{}{\Gamma \vdash \text{True}} \text{True-I} \quad \frac{\Gamma \vdash \text{False}}{\Gamma \vdash P} \text{False-E}$$

# NATURAL DEDUCTION FOR CONSTRUCTIVE PROPOSITIONAL LOGIC

$$\frac{\Gamma \vdash P \quad \Gamma \vdash Q}{\Gamma \vdash P \wedge Q} \wedge I$$

$$\frac{\Gamma \vdash P \wedge Q}{\Gamma \vdash P} \wedge E_1 \quad \frac{\Gamma \vdash P \wedge Q}{\Gamma \vdash Q} \wedge E_2$$

$$\frac{\Gamma \vdash P}{\Gamma \vdash P \vee Q} \vee I_1 \quad \frac{\Gamma \vdash Q}{\Gamma \vdash P \vee Q} \vee I_2$$

$$\frac{\Gamma \vdash P \vee Q \quad \Gamma, P \vdash R \quad \Gamma, Q \vdash R}{\Gamma \vdash R} \vee E$$

## OBSERVATIONS...

- Each logical symbol comes with some *introduction* rule and some *elimination* rule...
  - no introduction rule for *False*.
- To prove a proposition, we work upwards from the bottom.  
Ex. prove that  $(P \rightarrow Q \rightarrow R) \rightarrow (P \rightarrow Q) \rightarrow P \rightarrow R$ .
- Negation can be defined by  $\neg P = P \rightarrow \text{False}$ .

## EXCLUDED MIDDLE

- Note that we do not have such a rule:

$$\frac{}{\Gamma \vdash P \vee \neg P} \text{ Excluded-Middle}$$

- This rule is valid in *classical* logic, which talks about truth or falsehood — a proposition is either true or false.
- It is questioned by the *constructive* (intuitionistic) school of logicians. Constructive logic is about *provability*: it is not always the case that either  $P$  or  $\neg P$  has a proof.
- Such different views led to many famous debates in history.
- Not having such a rule makes intuitionistic incomplete (see next slide).

## CONSISTENCY, SOUNDNESS, AND COMPLETENESS

- A deduction system suggests a way to construct proofs. But do we know whether the proofs are correct?
- Correctness is discussed with respect to a semantics:
  - Assign each free identifier a true/false value.
  - Each logical operator is a function, etc
- A deduction system is
  - consistent: if falsehood cannot be proved;
  - sound: if every provable proposition is indeed true in the semantics;
  - complete: if every true proposition in the semantics is provable.
- The deduction system for propositional logic (with the addition of the law of excluded middle) has all these nice properties. It is not so for more complex logic.

# UNTYPED AND TYPED $\lambda$ CALCULUS

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- A very concise model of computation. Let  $X$  be the set of variables. The syntax for  $\lambda$  calculus is given by:

$$Term = \lambda X. Term \mid Term \ Term \mid X$$

- Operationally,  $\lambda x.e$  defines an anonymous function with local variable  $x$ , while  $e_1 \ e_2$  is function application.
- Occurrences of  $x$  in  $\lambda x.e$  is *bound*. A variable occurrence that is not bound is called *free*.
  - E.g. in  $\lambda x.(z(\lambda y.xy))y$ ,  $x$  is bound and  $z$  is free. The first  $y$  is bound, the second is free.

## $\lambda$ CALCULUS: $\alpha$ CONVERSION AND $\beta$ REDUCTION

- $e_1[x \setminus e_2]$ : substitute the free occurrences of  $x$  in  $e_1$  for  $e_2$ .  
More on the next slide.
- $\alpha$  conversion:  $\lambda x.e \equiv \lambda y.e[x \setminus y]$  for some  $y$  not occurring free in  $e$ .
  - Meaning that names of bound variables do not matter.
- $\beta$  reduction:  $(\lambda x.e_1) e_2 \xrightarrow{\beta} e_1[x \setminus e_2]$ .
  - Mimicking function application.
- These already constitute a Turing-complete model of computation!
  - You can model numbers (search for “Church encoding”), addition, subtraction...
  - You may perform recursion, and even non-terminating computation! (Search for “Y combinator”)



## $\lambda$ CALCULUS: SUBSTITUTION

- $e_1[x \backslash e_2]$ : substitute the free occurrences of  $x$  in  $e_1$  for  $e_2$  — and perform necessary changes of names.
  - A seemingly trivial operation whose formal definition is surprisingly tedious. For this course we might not need all the details, so I'll go with a “learn by examples” approach.
- E.g.  $(\lambda x. y x)[y \backslash \lambda z. z w] = \lambda x. (\lambda z. z w) x$ .
- $(\lambda x. y x)[x \backslash \lambda z. z] = (\lambda x. y x)$ .
- $(x (\lambda y. z x y))[x \backslash y z] \neq (y z) (\lambda y. z (y z) y)$ ! The free occurrence of  $y$  in  $y z$  is “captured”.
- It ought to be  $(y z) (\lambda w. z (y z) w)$ . The term  $(\lambda y. z x y)$  is  $\alpha$ -converted to avoid name capture.

## SUMMARY OF $\lambda$ CALCULUS

- A simple syntax.
- Two rules:  $\alpha$  and  $\beta$ .
- Yet it is Turing-complete — every computation possible on a Turing machine can be expressed in  $\lambda$  calculus.
- You can see it as a small fragment of Haskell (or, LISP/Scheme). In fact,  $\lambda$  calculus forms the theoretical basis of functional languages.

## SIMPLY TYPED $\lambda$ CALCULUS

- One of the typed version of  $\lambda$  calculus.
- We postulate existence of certain basic types, e.g. *Nat*, *Char*, etc.
- Each  $\lambda$  bound variables is annotated with its type. (It's like in many programming languages where you have to specify the types of arguments to functions.)

$$Term = \lambda(X :: Type).Term \mid Term \ Term \mid X$$

- Remark: there is another formulation of simply typed  $\lambda$  calculus (the Curry style, as opposed to the Church style here) without type annotations. The two styles are equivalent, however.

## EXTENSION WITH BASIC TYPES

- For illustrative purposes, it is often convenient to extend  $\lambda$  calculus with some basic types, e.g.

$$\begin{aligned} \textit{Term} = & \lambda(X :: \textit{Type}).\textit{Term} \mid \textit{Term} \textit{Term} \mid X \\ & \mid \textit{Nat} \mid \textit{Term} \oplus \textit{Term} \end{aligned}$$

- where  $\oplus \in \{+, -, \times\}$ , etc.
- So you can write, e.g.  
 $(\lambda(x :: \textit{Nat}).\lambda(y :: \textit{Nat}).(x + 1) \times y) ((\lambda(x :: \textit{Nat}).x \times x) 2) z$

## TYPING RULES

- A *typing context*: a mapping from variable names to types.
  - Empty context:  $\emptyset$ , or sometimes just left blank.
  - $\Gamma, x :: \tau$  denotes  $\Gamma$  extended with the assumption that  $x$  has type  $\tau$  ( $(,)$  is like  $(:)$  for lists).
- A *typing relation*:  $\Gamma \vdash e :: \tau$  says that “the expression  $e$  has type  $\tau$  in the typing context  $\Gamma$ .”
- *Typing rules*:

$$\frac{x :: \tau \in \Gamma}{\Gamma \vdash x :: \tau} \text{Var} \qquad \frac{\Gamma, x :: \sigma \vdash e :: \tau}{\Gamma \vdash \lambda(x : \sigma).e :: \sigma \rightarrow \tau} \rightarrow I$$

$$\frac{\Gamma \vdash e_1 :: \sigma \rightarrow \tau \quad \Gamma \vdash e_2 :: \sigma}{\Gamma \vdash e_1 e_2 :: \tau} \rightarrow E$$

- With extensions:

$$\frac{n \in \text{Nat}}{\Gamma \vdash n :: \text{Nat}} \text{Nat} \qquad \frac{\Gamma \vdash e_1 :: \text{Nat} \quad \Gamma \vdash e_2 :: \text{Nat}}{\Gamma \vdash e_1 \oplus e_2 :: \text{Nat}} \text{NatOp}$$

## SEVERAL WAYS TO USE THESE RULES

- Type checking: given  $\Gamma$ ,  $e$ , and  $\tau$ , verify that  $\Gamma \vdash e :: \tau$ .
- Type inference: given  $\Gamma$  and  $e$ , find  $\tau$  such that  $\Gamma \vdash e :: \tau$ .
- Type inhabitation: given  $\Gamma$  and  $\tau$ , find  $e$  such that  $\Gamma \vdash e :: \tau$ .

## TYPE CHECKING EXAMPLE

Denote  $x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}, y :: \text{Nat}$  by  $\Gamma$  (we omit the type annotations in  $\lambda$  to save space):

$$\frac{}{\frac{}{\frac{}{\frac{}{\frac{}{\vdash \lambda x. \lambda y. (xy) y :: (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rightarrow \text{Nat}}}}}}}}}$$

## TYPE CHECKING EXAMPLE

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$$\frac{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \vdash \lambda y.(xy) y :: \text{Nat} \rightarrow \text{Nat}}{\vdash \lambda x.\lambda y.(xy) y :: (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rightarrow \text{Nat}} \rightarrow I$$



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$$\frac{\frac{\frac{}{\Gamma \vdash (xy)y :: \text{Nat}}}{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \vdash \lambda y.(xy)y :: \text{Nat} \rightarrow \text{Nat}} \rightarrow I}{\vdash \lambda x.\lambda y.(xy)y :: (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rightarrow \text{Nat}} \rightarrow I$$

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$$\frac{\frac{\frac{}{\Gamma \vdash xy :: \text{Nat} \rightarrow \text{Nat}}}{\Gamma \vdash (xy)y :: \text{Nat}} \quad \frac{}{\Gamma \vdash y :: \text{Nat}}}{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \vdash \lambda y.(xy)y :: \text{Nat} \rightarrow \text{Nat}} \rightarrow I \quad \frac{}{\vdash \lambda x.\lambda y.(xy)y :: (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rightarrow \text{Nat}} \rightarrow I$$

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$$\begin{array}{c}
 \frac{}{\Gamma \vdash x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}} \quad \frac{}{\Gamma \vdash y :: \text{Nat}} \quad \frac{}{\Gamma \vdash y :: \text{Nat}} \\
 \hline
 \frac{\Gamma \vdash xy :: \text{Nat} \rightarrow \text{Nat} \quad \Gamma \vdash y :: \text{Nat}}{\Gamma \vdash (xy)y :: \text{Nat}} \rightarrow E \quad \frac{}{\Gamma \vdash y :: \text{Nat}} \rightarrow I \\
 \hline
 \frac{\Gamma \vdash (xy)y :: \text{Nat}}{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \vdash \lambda y.(xy)y :: \text{Nat} \rightarrow \text{Nat}} \rightarrow I \\
 \hline
 \vdash \lambda x.\lambda y.(xy)y :: (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rightarrow \text{Nat} \rightarrow I
 \end{array}$$

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$$\begin{array}{c}
 \frac{\Gamma \vdash x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}}{\Gamma \vdash xy :: \text{Nat} \rightarrow \text{Nat}} \quad \frac{\Gamma \vdash y :: \text{Nat}}{\Gamma \vdash (xy)y :: \text{Nat}} \rightarrow E \quad \frac{y :: \text{Nat} \in \Gamma}{\Gamma \vdash y :: \text{Nat}} \text{Val} \\
 \frac{\Gamma \vdash (xy)y :: \text{Nat}}{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \vdash \lambda y.(xy)y :: \text{Nat} \rightarrow \text{Nat}} \rightarrow I \\
 \frac{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \vdash \lambda y.(xy)y :: \text{Nat} \rightarrow \text{Nat}}{\vdash \lambda x.\lambda y.(xy)y :: (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rightarrow \text{Nat}} \rightarrow I
 \end{array}$$

## TYPE CHECKING EXAMPLE

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$$\frac{\frac{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \in \Gamma}{\Gamma \vdash x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}} \text{Var} \quad \frac{y :: \text{Nat} \in \Gamma}{\Gamma \vdash y :: \text{Nat}} \text{Var} \quad \frac{y :: \text{Nat} \in \Gamma}{\Gamma \vdash y :: \text{Nat}} \text{Var}}{\frac{\Gamma \vdash xy :: \text{Nat} \rightarrow \text{Nat} \quad \Gamma \vdash (xy)y :: \text{Nat}}{\Gamma \vdash (xy)y :: \text{Nat}} \rightarrow \text{E}} \rightarrow \text{I} \quad \frac{x :: \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \vdash \lambda y. (xy)y :: \text{Nat} \rightarrow \text{Nat}}{\vdash \lambda x. \lambda y. (xy)y :: (\text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}) \rightarrow \text{Nat} \rightarrow \text{Nat}} \rightarrow \text{I}$$

## SEVERAL THINGS TO NOTE

- In each step there is only one rule we could apply, guided by the syntax.
  - Typing tree of an expression is composed by the typing trees of its sub-expressions.
  - In the 90's there was a trend to make every static analysis a type system, since type systems are very structured.

## SEVERAL THINGS TO NOTE

- Can the same expression be typed by  $(Bool \rightarrow Bool \rightarrow (Bool \rightarrow Nat)) \rightarrow Bool \rightarrow (Bool \rightarrow Nat)$ , given suitable  $\Gamma$ , and extending the typing rules with those for *Bool*)?
- Yes. Typing is not unique. Which brings up the question whether there is a “most general” type we can give to an expression.

## TYPE SAFETY

- Type systems try to guarantee certain safety properties.
- **Subject reduction** (or type preservation): if  $\Gamma \vdash e_1 :: \tau$  and  $e_1 \xrightarrow{\beta} e_2$ , we have  $\Gamma \vdash e_2 :: \tau$ .
  - In words, typable terms are still typable by the same types after  $\beta$  reduction.
- **Progress**: if  $\Gamma \vdash e_1 :: \tau$ , either  $e_1 \xrightarrow{\beta} e_2$  for some  $e_2$ , or  $e_1$  is a value.
  - Definition of a “value” varies. E.g., a normal form.
  - In words, we never get stuck in a state where no further reductions are possible (counter example:  $1 + 'c'$ ).
- Slogan “well-typed programs don’t go wrong.”
- A language guarantees certain type safety, that typable programs don’t go wrong, is called *strong typing*.
- But there is always a grey area: what about  $1/0$ ?



## PRODUCTS

- To add more datatypes to the language, just add the corresponding introduction and elimination rules.
- For pairs (product type), we have

$$\frac{\Gamma \vdash e_1 :: \sigma \quad \Gamma \vdash e_2 :: \tau}{\Gamma \vdash (e_1, e_2) :: (\sigma, \tau)} \times I$$

$$\frac{\Gamma \vdash e :: (\sigma, \tau)}{\Gamma \vdash fst\ e :: \sigma} \times E_1 \quad \frac{\Gamma \vdash e :: (\sigma, \tau)}{\Gamma \vdash snd\ e :: \tau} \times E_2$$

- Products are like “struct” in C.

## SUM

- There is a type we should have talked more about:  
**data** *Either* *a b* = *Left a* | *Right b*. We will abbreviate *Either a b* to *a + b*, *Left* to *L*, *Right* to *R*.
- Typing rules:

$$\frac{\Gamma \vdash e :: \sigma}{\Gamma \vdash \text{Left } e :: \sigma + \tau} +l_1 \quad \frac{\Gamma \vdash e :: \tau}{\Gamma \vdash \text{Right } e :: \sigma + \tau} +l_2$$

$$\frac{\Gamma \vdash e :: \sigma + \tau \quad \Gamma, x :: \sigma \vdash e_1 :: \gamma \quad \Gamma, y :: \tau \vdash e_2 :: \gamma}{\Gamma \vdash \text{case } e \text{ of } Lx \rightarrow e_1; Ry \rightarrow e_2 :: \gamma} +E$$

- Sums are like “union” in C.

## UNIT AND EMPTY

- The unit type in Haskell is written `()`. It has only one element, also written `()`.

$$\frac{}{\Gamma \vdash () :: ()} \text{Unit-I}$$

- The empty type consists of no term. You can define it in Haskell by `data Empty`. There is only an elimination rule:

$$\frac{\Gamma \vdash e_1 :: \text{Empty}}{\Gamma \vdash e_2 :: \tau} \text{Empty-E}$$

That is, if you manage to construct a term `e1` having type `Empty` (which cannot happen), you can assign `e2` any type.

# CURRY-HOWARD ISOMORPHISM

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## PROOF TERMS

But aren't they just natural deduction rules annotated by terms?

$$\frac{\tau \in \Gamma}{\Gamma \vdash \tau} \text{Var} \qquad \frac{\Gamma, \sigma \vdash \tau}{\Gamma \vdash \sigma \rightarrow \tau} \rightarrow\text{I}$$

$$\frac{\Gamma \vdash \sigma \rightarrow \tau \quad \Gamma \vdash \sigma}{\Gamma \vdash \tau} \rightarrow\text{E}$$

$$\frac{}{\Gamma \vdash ()} \text{Unit-I} \qquad \frac{\Gamma \vdash \text{Empty}}{\Gamma \vdash \tau} \text{Empty-E}$$

## PROOF TERMS

But aren't they just natural deduction rules annotated by terms?

$$\frac{x :: \tau \in \Gamma}{\Gamma \vdash x :: \tau} \text{Var} \qquad \frac{\Gamma, x :: \sigma \vdash e :: \tau}{\Gamma \vdash \lambda x. e :: \sigma \rightarrow \tau} \rightarrow I$$

$$\frac{\Gamma \vdash e_1 :: \sigma \rightarrow \tau \quad \Gamma \vdash e_2 :: \sigma}{\Gamma \vdash e_1 e_2 :: \tau} \rightarrow E$$

$$\frac{}{\Gamma \vdash () :: ()} \text{Unit-I} \qquad \frac{\Gamma \vdash e_1 :: \text{Empty}}{\Gamma \vdash e_2 :: \tau} \text{Empty-E}$$

## PROOF TERMS

$$\frac{\Gamma \vdash \sigma \quad \Gamma \vdash \tau}{\Gamma \vdash (\sigma, \tau)} \times I$$

$$\frac{\Gamma \vdash (\sigma, \tau)}{\Gamma \vdash \sigma} \times E_1 \quad \frac{\Gamma \vdash (\sigma, \tau)}{\Gamma \vdash \tau} \times E_2$$

$$\frac{\Gamma \vdash \sigma}{\Gamma \vdash \sigma + \tau} + I_1 \quad \frac{\Gamma \vdash \tau}{\Gamma \vdash \sigma + \tau} + I_2$$

$$\frac{\Gamma \vdash \sigma + \tau \quad \Gamma, \sigma \vdash \gamma \quad \Gamma, \tau \vdash \gamma}{\Gamma \vdash \gamma} + E$$

## PROOF TERMS

$$\frac{\Gamma \vdash e_1 :: \sigma \quad \Gamma \vdash e_2 :: \tau}{\Gamma \vdash (e_1, e_2) :: (\sigma, \tau)} \times I$$

$$\frac{\Gamma \vdash e :: (\sigma, \tau)}{\Gamma \vdash \text{fst } e :: \sigma} \times E_1 \quad \frac{\Gamma \vdash e :: (\sigma, \tau)}{\Gamma \vdash \text{snd } e :: \tau} \times E_2$$

$$\frac{\Gamma \vdash e :: \sigma}{\Gamma \vdash \text{Left } e :: \sigma + \tau} + I_1 \quad \frac{\Gamma \vdash e :: \tau}{\Gamma \vdash \text{Right } e :: \sigma + \tau} + I_2$$

$$\frac{\Gamma \vdash e :: \sigma + \tau \quad \Gamma, x :: \sigma \vdash e_1 :: \gamma \quad \Gamma, y :: \tau \vdash e_2 :: \gamma}{\Gamma \vdash \text{case } e \text{ of } Lx \rightarrow e_1; Ry \rightarrow e_2 :: \gamma} + E$$



# PROGRAMS ARE PROOFS

Let  $\Gamma = P \rightarrow Q \rightarrow R, P \rightarrow Q, P$ . Prove that  
 $(P \rightarrow Q \rightarrow R) \rightarrow (P \rightarrow Q) \rightarrow P \rightarrow R$ .

$$\begin{array}{c}
 \frac{P \rightarrow Q \rightarrow R \in \Gamma}{\Gamma \vdash P \rightarrow Q \rightarrow R} \text{Hyp} \quad \frac{P \in \Gamma}{\Gamma \vdash P} \text{Hyp} \quad \frac{P \rightarrow Q \in \Gamma}{\Gamma \vdash P \rightarrow Q} \text{Hyp} \quad \frac{P \in \Gamma}{\Gamma \vdash P} \\
 \hline
 \frac{\Gamma \vdash P \rightarrow Q \rightarrow R \quad \Gamma \vdash P}{\Gamma \vdash Q \rightarrow R} \rightarrow E \quad \frac{\Gamma \vdash P \rightarrow Q \quad \Gamma \vdash P}{\Gamma \vdash Q} \rightarrow E \\
 \hline
 \frac{\Gamma \vdash Q \rightarrow R \quad \Gamma \vdash Q}{\Gamma \vdash R} \rightarrow E \\
 \hline
 \frac{\Gamma \vdash R}{P \rightarrow Q \rightarrow R, P \rightarrow Q \vdash P \rightarrow R} \rightarrow I \\
 \hline
 \frac{P \rightarrow Q \rightarrow R, P \rightarrow Q \vdash P \rightarrow R}{P \rightarrow Q \rightarrow R \vdash (P \rightarrow Q) \rightarrow P \rightarrow R} \rightarrow I \\
 \hline
 \vdash (P \rightarrow Q \rightarrow R) \rightarrow (P \rightarrow Q) \rightarrow P \rightarrow R \rightarrow I
 \end{array}$$

## PROGRAMS ARE PROOFS

Let  $\Gamma = f :: P \rightarrow Q \rightarrow R, g :: P \rightarrow Q, x :: P$ .

$$\begin{array}{c}
 \frac{f :: P \rightarrow Q \rightarrow R \in \Gamma}{\Gamma \vdash f :: P \rightarrow Q \rightarrow R} \text{Var} \quad \frac{x :: P \in \Gamma}{\Gamma \vdash x :: P} \text{Var} \quad \frac{g :: P \rightarrow Q \in \Gamma}{\Gamma \vdash g :: P \rightarrow Q} \text{Var} \\
 \hline
 \frac{\Gamma \vdash f :: P \rightarrow Q \rightarrow R \quad \Gamma \vdash x :: P}{\Gamma \vdash fx :: Q \rightarrow R} \rightarrow E \quad \frac{g :: P \rightarrow Q \in \Gamma}{\Gamma \vdash gx :: Q} \text{Var} \\
 \hline
 \Gamma \vdash fx(gx) :: R \\
 \hline
 \frac{f :: P \rightarrow Q \rightarrow R, g :: P \rightarrow Q \vdash \lambda x. fx(gx) :: P \rightarrow R}{f :: P \rightarrow Q \rightarrow R \vdash \lambda g. \lambda x. fx(gx) :: (P \rightarrow Q) \rightarrow P \rightarrow R} \\
 \hline
 \vdash \lambda f. \lambda g. \lambda x. fx(gx) :: (P \rightarrow Q \rightarrow R) \rightarrow (P \rightarrow Q) \rightarrow P \rightarrow R
 \end{array}$$

The term  $\lambda f. \lambda g. \lambda x. fx(gx)$  sufficiently records the proof, from which we can reconstruct the proof tree.

## CURRY-HOWARD ISOMORPHISM

- It was noticed that programs and proofs have such correspondence. Types are propositions, programs are proofs.
- Logic is thus given a computational meaning.
  - A proof of  $P \Rightarrow Q$ , for example, is a function that takes a proof of  $P$  and produces a proof of  $Q$ ;
  - A proof of  $P \wedge Q$  is a pair consisting a proof of  $P$  and a proof of  $Q$ , etc.
- “Given a proposition, find a proof” is the type inhabitation problem.
- $\beta$  reduction is proof reduction (proof simplification).
- Propositional logic is a simple logic with nice properties: every true proposition has a proof, etc. This nice properties carries over to simply typed  $\lambda$  calculus.
- There are stronger logic, though. When we design a new type system, we often ask ourselves what logic it

## MORE EXPRESSIVE LOGIC/TYPE SYSTEMS

- Second-order logic: allowing  $\forall$  that quantifies over propositions (types):
  - e.g.  $\forall a. (\forall b. b \rightarrow a) \rightarrow a$ .
  - That gives us polymorphic types.
  - Haskell's (original) Hindley-Milner type system is a more restrictive version that allows  $\forall$  only at the outer-most level.
- First-order logic: allowing  $\forall$  that quantifies over terms:
  - e.g.  $\forall m, n \in \text{Nat}. m \leq n \rightarrow 1 + m \leq 1 + n$ .
  - *Dependent type*. A very expressive type system in which you may express various properties: e.g. a function returns a sorted list.

## MORE EXPRESSIVE LOGIC/TYPE SYSTEMS

- Allowing  $\exists$ : existential type. Used to express abstract datatypes.
  - $\exists a. a \wedge (a \rightarrow a \rightarrow a)$ : some type that has a base element and an “addition” operator.
- Subtyping:  $Nat \leq Real \leq Complex...$  related to ad-hoc polymorphism.
- If we allow everything in the typing context to be used exactly once, e.g:

$$\frac{\Gamma_1 \vdash e_1 :: \sigma \rightarrow \tau \quad \Gamma_2 \vdash e_2 :: \sigma}{\Gamma_1 \uplus \Gamma_2 \vdash e_1 e_2 :: \tau} \rightarrow E$$

- where  $\Gamma_1 \uplus \Gamma_2$  denotes disjoint union —  $\Gamma_1$  and  $\Gamma_2$  must not share elements,
- we get *linear type*. Used to reason about usage of resources.

## MORE EXPRESSIVE LOGIC/TYPE SYSTEMS

- Recall the law of excluded middle:

$$\frac{}{\Gamma \vdash P \vee \neg P} \text{ Excluded-Middle}$$

- If we want such a rule for the types, what is the corresponding term?
- It has to do with *continuations* — think of it as a kind of “go-to”.
- And many more. Research on types is still a hot topic.

# POLYMORPHIC $\lambda$ CALCULUS

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## POLYMORPHISM

- Allowing values of different types to be handled through a uniform interface.
- Christopher Strachey described two kinds of polymorphism:
- *Ad-hoc* polymorphism: allowing potentially different code (e.g. `+` for *Int* and *Float*) to “look the same”.
  - e.g. function overloading, and method overloading in many OO languages.
  - e.g. type classes (*Eq a*  $\Rightarrow$  ...) in Haskell.
- *Parametric* polymorphism: allowing the same piece of code, which does not depend on the type of the input data, to be used on a wide range of types.
  - e.g. *reverse* :: *List a*  $\rightarrow$  *List a* in Haskell.
- We will only briefly talk about the second kind.



## POLYMORPHIC $\lambda$ CALCULUS (SYSTEM F)

- Proposed by Girard.
- An additional construct in the syntax of types (where  $T$  ranges over type variables):

$$\tau = \text{Unit} \mid \text{Empty} \mid \text{Nat} \mid T \\ \mid \tau \rightarrow \tau \mid (\tau, \tau) \mid \tau + \tau \mid \forall T. \tau$$

- And two additional construct of terms:

$$\frac{\Gamma \vdash e :: \tau \quad a \text{ not free in } \Gamma}{\Gamma \vdash \Lambda a. e :: \forall a. \tau} \forall I$$

$$\frac{\Gamma \vdash e :: \forall a. \tau}{\Gamma \vdash e \sigma :: \tau[a \backslash \sigma]} \forall E$$

Terms may take types as arguments!

- One more reduction rule:  $(\Lambda a. e) \sigma \xrightarrow{\beta} e[a \backslash \sigma]$ .

## EXAMPLE: POLYMORPHIC *const*

Recall the function *const*  $x\ y = x$  in Haskell. The corresponding function in System F:

$$\frac{}{\frac{}{\frac{}{\vdash \lambda(x :: a).\lambda(y :: b).x :: a \rightarrow b \rightarrow a}}}$$

## EXAMPLE: POLYMORPHIC *const*

Recall the function *const*  $x\ y = x$  in Haskell. The corresponding function in System F:

$$\frac{\frac{\frac{}{x :: a \vdash \lambda(y :: b).x :: b \rightarrow a}}{\vdash \lambda(x :: a).\lambda(y :: b).x :: a \rightarrow b \rightarrow a} \rightarrow I}{}$$

## EXAMPLE: POLYMORPHIC *const*

Recall the function *const*  $x\ y = x$  in Haskell. The corresponding function in System F:

$$\frac{\frac{\frac{}{x :: a, y :: b \vdash x :: a}}{x :: a \vdash \lambda(y :: b).x :: b \rightarrow a} \rightarrow I}{\vdash \lambda(x :: a).\lambda(y :: b).x :: a \rightarrow b \rightarrow a} \rightarrow I$$

---

## EXAMPLE: POLYMORPHIC *const*

Recall the function *const*  $x\ y = x$  in Haskell. The corresponding function in System F:

$$\frac{\frac{\frac{x :: a \in \{x :: a, y :: b\}}{x :: a, y :: b \vdash x :: a} \text{Var}}{x :: a \vdash \lambda(y :: b).x :: b \rightarrow a} \rightarrow I}{\vdash \lambda(x :: a).\lambda(y :: b).x :: a \rightarrow b \rightarrow a} \rightarrow I$$

---

## EXAMPLE: POLYMORPHIC *const*

Recall the function *const*  $x\ y = x$  in Haskell. The corresponding function in System F:

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---

$$\vdash \Lambda b.\lambda(x :: a).\lambda(y :: b).x :: \forall b.a \rightarrow b \rightarrow a \quad \forall I$$

## EXAMPLE: POLYMORPHIC *const*

Recall the function *const*  $x\ y = x$  in Haskell. The corresponding function in System F:

$$\frac{\frac{\frac{x :: a \in \{x :: a, y :: b\}}{x :: a, y :: b \vdash x :: a} \text{Var}}{x :: a \vdash \lambda(y :: b).x :: b \rightarrow a} \rightarrow I}{\frac{\vdash \lambda(x :: a).\lambda(y :: b).x :: a \rightarrow b \rightarrow a}{} \rightarrow I} \forall I$$
$$\frac{\vdash \Lambda b.\lambda(x :: a).\lambda(y :: b).x :: \forall b.a \rightarrow b \rightarrow a}{\vdash \Lambda a.\Lambda b.\lambda(x :: a).\lambda(y :: b).x :: \forall a.\forall b.a \rightarrow b \rightarrow a} \forall I$$

## EXAMPLE: USING POLYMORPHIC FUNCTIONS

Let  $\Gamma = f :: \forall a. a \rightarrow a$ . Abbreviate *Bool* to  $\mathbb{B}$ .

$$\begin{array}{c}
 \dfrac{f :: \forall a. a \rightarrow a \in \Gamma}{\Gamma \vdash f :: \forall a. a \rightarrow a} \text{Var} \\
 \dfrac{\Gamma \vdash f \text{ Nat} :: \text{Nat} \rightarrow \text{Nat} \quad \dfrac{}{\Gamma \vdash 3 :: \text{Nat}} \text{Nat}}{\Gamma \vdash f \text{ Nat } 3 :: \text{Nat}} \forall E \quad \dfrac{}{\Gamma \vdash f \mathbb{B} \text{ True} :: \text{True}} \text{(omitted)} \\
 \dfrac{\Gamma \vdash f \text{ Nat } 3 :: \text{Nat} \quad \dfrac{}{\Gamma \vdash f \mathbb{B} \text{ True} :: \text{True}} \rightarrow E}{\Gamma \vdash (f \text{ Nat } 3, f \mathbb{B} \text{ True}) :: (\text{Nat}, \mathbb{B})} \\
 \dfrac{\Gamma \vdash (f \text{ Nat } 3, f \mathbb{B} \text{ True}) :: (\text{Nat}, \mathbb{B})}{\vdash \lambda f. (f \text{ Nat } 3, f \mathbb{B} \text{ True}) :: (\forall a. a \rightarrow a) \rightarrow (\text{Nat}, \mathbb{B})}
 \end{array}$$



## SECOND-ORDER LOGIC

- Recall Curry-Howard isomorphism? What logic does this type system correspond to?
- Ans: second-order (intuitionistic) logic. That is, propositional logic extended with  $\forall$ , and in all  $\forall a$ ,  $a$  is a type (proposition).
  - There ought to be an  $\exists$  operator too, but it can be simulated by  $\forall$  and is often omitted.
- 2nd-order logic: very expressive. You can encode all inductive and coinductive datatypes in it! (Search for Church encoding.)
- Sound. But no deductive system for it can be complete — there are true propositions that cannot be proved. Thus type inhabitation for it is undecidable.

## SECOND-ORDER LOGIC/POLYMORPHIC $\lambda$ CALCULUS

- Type inference is undecidable.
- Type checking is decidable — for the Church style (where  $\lambda$  abound variables are annotated with types).
  - For Curry style, even type checking is undecidable.

## POLYMORPHIC DATATYPES

- When we define a datatype **data**  $Nat = Zero \mid Suc\ Nat$  in Haskell, we have introduced:
  - a type  $Nat$ ,
  - two data constructors  $Zero :: Nat$  and  $Suc :: Nat \rightarrow Nat$ .
- When we define a polymorphic data type **data**  $List\ a = [] \mid a : List\ a$  in Haskell, we have introduced:
  - a type constructor  $List$  — a function from a type to a type, e.g. from  $Int$  to  $List\ Int$ .
  - two data constructors  $[] :: \forall a. List\ a$ , and  $(:_) :: \forall a. a \rightarrow List\ a \rightarrow List\ a$ .
  - To build a list of  $Int$  we should have written, e.g.,  $1 :: Int\ 2 :: Int\ 3 :: Int\ [] :: Int$ . But in Haskell we always omit the type application (since they can be inferred).

## COMPARISON

- Type of a polymorphic function in Haskell, e.g.,  
 $\text{zip} :: \text{List } a \rightarrow \text{List } b \rightarrow \text{List } (a, b)$ , should actually be  
 $\forall a. \forall b. \text{List } a \rightarrow \text{List } b \rightarrow \text{List } (a, b)$ .
- In Haskell we omit all the type applications. E.g. we say  
 $\text{zip } [1, 2] \text{ "ab"}$  instead of  $\text{zip Nat Char } [1, 2] \text{ "ab"}$ .
- Finally, Haskell uses a weaker system of polymorphic type:
  - Names of types starting with lower-case characters are assumed to be  $\forall$ -quantified.
  - All  $\forall$ s appear at outer-most positions only. Thus  
 $\text{List } a \rightarrow \text{List } b \rightarrow \text{List } (a, b)$  is seen as  
 $\forall a. \forall b. \text{List } a \rightarrow \text{List } b \rightarrow \text{List } (a, b)$ .
  - The type  $(\forall a. a \rightarrow a) \rightarrow (\text{Nat}, \mathbb{B})$ , which we have seen previously, is not allowed in (standard) Haskell 98!
  - Thus the  $\forall$  symbol is not explicit written.
- Why these restrictions? To allow type inference!

# HINDLEY-MILNER STYLE TYPE INFERENCE

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## TYPE INFERENCE

Example: find  $\tau$  such that  $\vdash \lambda x. \lambda y. x :: \tau$ . Note that  $x$  and  $y$  are no longer annotated with types. We have to somehow find them out.

$$\frac{}{\vdash \lambda x. \lambda y. x :: a}$$

## TYPE INFERENCE

Example: find  $\tau$  such that  $\vdash \lambda x. \lambda y. x :: \tau$ . Note that  $x$  and  $y$  are no longer annotated with types. We have to somehow find them out.

$$\frac{\frac{}{x :: b \vdash \lambda y. x :: c}}{\vdash \lambda x. \lambda y. x :: a} \rightarrow I$$

$$a = b \rightarrow c$$

## TYPE INFERENCE

Example: find  $\tau$  such that  $\vdash \lambda x. \lambda y. x :: \tau$ . Note that  $x$  and  $y$  are no longer annotated with types. We have to somehow find them out.

$$\frac{\frac{x :: b, y :: d \vdash x :: e}{x :: b \vdash \lambda y. x :: c} \rightarrow I}{\vdash \lambda x. \lambda y. x :: a} \rightarrow I$$

$$a = b \rightarrow c$$

$$c = d \rightarrow e$$



## TYPE INFERENCE

Example: find  $\tau$  such that  $\vdash \lambda x. \lambda y. x :: \tau$ . Note that  $x$  and  $y$  are no longer annotated with types. We have to somehow find them out.

$$\frac{x :: e \in \{x :: b, y :: d\}}{x :: b, y :: d \vdash x :: e} \text{Var}$$
$$\frac{x :: b \vdash \lambda y. x :: c}{\vdash \lambda x. \lambda y. x :: a} \rightarrow I$$

$$a = b \rightarrow c$$

$$c = d \rightarrow e$$

$$e = b$$

Thus  $\tau = \forall b. \forall d. b \rightarrow d \rightarrow b$ .

## HINDLEY-MILNER STYLE TYPE INFERENCE

- Assume the unknown types to be type variables.
- Proceed with the typing rules of simply typed  $\lambda$  calculus, and use a *unification engine* to discover constraints between the type variables.
  - Algorithms for unification can be quite non-trivial. We do not go into the details for this course, and rely merely on your intuition to perform the unification manually.
- If the procedure succeeds,  $\forall$ -quantify all the unconstrained variables.
- The procedure fails if we encounter circular constraints:  
 $a = \dots a \dots$

## EXAMPLE OF A TYPE ERROR

$$\frac{}{\frac{}{\frac{}{\vdash \lambda f.f f :: a}}}$$

## EXAMPLE OF A TYPE ERROR

$$\frac{\frac{}{f :: b} \quad \frac{}{f f :: c}}{\vdash \lambda f. f f :: a} \rightarrow I$$

$$a = b \rightarrow c$$

## EXAMPLE OF A TYPE ERROR

$$\frac{\frac{\frac{f :: b \vdash f :: e \rightarrow c}{f :: b \vdash f f :: c} \rightarrow I}{\vdash \lambda f. f f :: a} \rightarrow I}{\frac{f :: b \vdash f :: e}{f :: b \vdash f f :: c} \rightarrow E} \rightarrow E$$

$$a = b \rightarrow c$$

## EXAMPLE OF A TYPE ERROR

$$\frac{\frac{f :: e \rightarrow c \in \{f :: b\}}{f :: b \vdash f :: e \rightarrow c} \text{Var} \quad \frac{}{f :: b \vdash f :: e} \rightarrow E}{\frac{f :: b \vdash f f :: c}{\vdash \lambda f. f f :: a} \rightarrow I} \rightarrow E$$

$$a = b \rightarrow c$$

$$b = e \rightarrow c$$

## EXAMPLE OF A TYPE ERROR

$$\frac{\frac{f :: e \rightarrow c \in \{f :: b\}}{f :: b \vdash f :: e \rightarrow c} \text{Var} \quad \frac{f :: e \in \{f :: b\}}{f :: b \vdash f :: e} \text{Var}}{\frac{f :: b \vdash ff :: c}{\vdash \lambda f. ff :: a} \rightarrow I} \rightarrow E$$

$$a = b \rightarrow c$$

$$b = e \rightarrow c$$

$$b = e$$

The constraints imply  $e = e \rightarrow c$ , a circular type. So we signal a type error.

## HINDLEY-MILNER TYPE INFERENCE

- The Hindley-Milner system is essentially a monomorphic type system disguised as polymorphic.
- By doing so it found a nice balance — limited polymorphism, with type inference.
- Adopted by some early typed functional languages. It was believed that programmers no longer need to write types.
- Later, people were not satisfied with its limitation, and the Haskell type system was extended with more features (e.g. those more like System F, type classes, etc) but we lost full type inference.



## MORE ON TYPES

- Many other aspects on types that we won't have time to talk about:
- “free theorems” of polymorphic functions.
  - What can we say about a function  $f :: \forall a. \text{List } a \rightarrow a$ ?
  - $f \cdot \text{map } g = g \cdot f$ .
- Existential type ( $\exists$ ) is dual to  $\forall$ , and implements abstract data types (e.g.  $\exists t. \text{Printable } t$ ).
- Subtyping:  $\text{Nat} \leq \text{Real} \leq \text{Complex} \dots$  related to ad-hoc polymorphism.
- What type corresponds to first order logic? Dependent type, a highly expressive type system.
- And many more. Research on types is still a hot topic.